

# Optimized coordinates for Ricci-flat conifolds

Áron Szabó

joint work with Klaus Kröncke

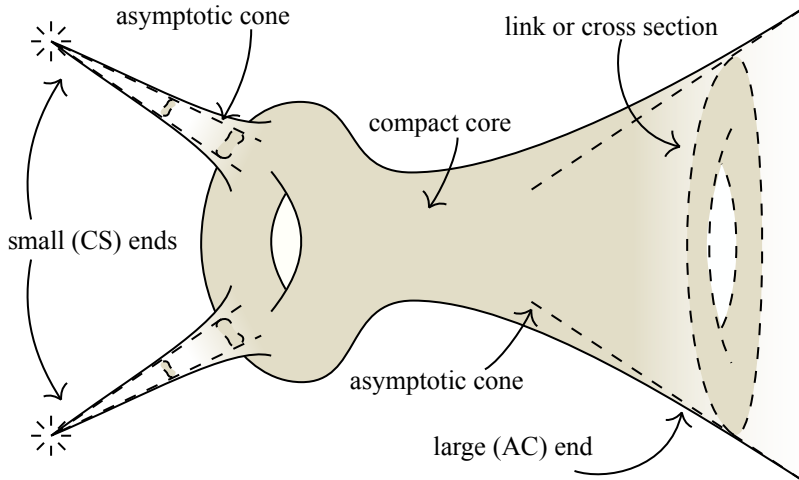
Nicolaus Copernicus University in Toruń

12th Central European Relativity Seminar

Budapest, Hungary

21st of February 2022

# The star of the hour: Riemannian conifolds



## Some history (without claim for completeness)

- gravitational instantons have been introduced in the 1970's, e.g. [EH78]
- Bartnik proved a positive mass theorem for AE manifolds [Bar86]
- Kronheimer classified four dimensional Ricci-flat ALE manifolds [Kro89]
- Bando, Kasue and Nakajima constructed coordinates at infinity [BKN89]
- several classes of examples have been constructed, even with special geometry e.g. [CH14]

Conifolds are also interesting in the study of the Ricci flow

- Hamilton introduces the Ricci flow in 1982  $\rightsquigarrow$  conical singularities
- Nonlinear stability results for ALE manifolds based on optimized coordinates [DK20]

Goal: find a way to extend the results of [DK20].

# Definition of a conifold

- A smooth manifold with ends is a manifold  $M$  such that  $M = K \cup E_1 \cup \dots \cup E_m$  where  $K \subset M$  is compact and  $E_j \simeq \mathbb{R} \times N_j$  as manifolds.
- Given a Riemannian manifold with ends, an end  $E_j$  is called

- an asymptotically conical (AC) end if there is a diffeomorphism  $\phi_j: E_j \rightarrow (R, \infty) \times N_j$  with

$$|\nabla^k(\phi_*g - g_{\text{cone}})| = \mathcal{O}(r^{-\tau_j - k})$$

for all  $k \in \mathbb{N}$  as  $r \rightarrow \infty$ ,

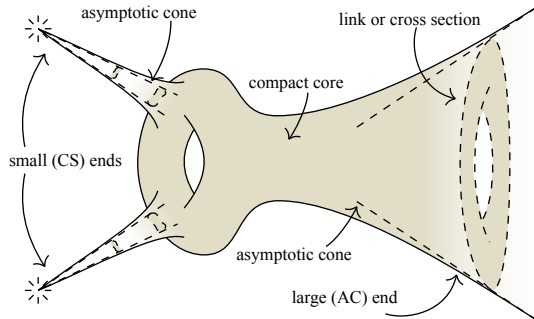
- conically singular (CS) if there is a diffeomorphism  $\phi_j: E_j \rightarrow (0, R) \times N_j$  with

$$|\nabla^k(\phi_*g - g_{\text{cone}})| = \mathcal{O}(r^{+\tau_j - k})$$

for all  $k \in \mathbb{N}$  as  $r \rightarrow 0$ ,

where  $g_{\text{cone}} = dr \otimes dr + r^2 g_{N_j}$  is the cone metric.

- A conifold is a Riemannian manifold with ends if each of its ends is either AC or CS.



# PDE technology on conifolds

The usual techniques of PDE theory, like

- Sobolev and Hölder spaces
- various embedding theorems
- elliptic estimates,

can be extended to this setting by introducing weighted norms

[Can75, Can79, LM85, Bar86, Pac13, Bam11].

$$\|u\|_{L^2_\beta} = \left( \int_M |\rho^{-\beta} u|^2 \rho^{-n} d\mu \right)^{1/2} \quad \|u\|_{H^k_\beta} = \sum_{l=0}^k \|\nabla^l u\|_{L^2_{\beta-l}}$$

$$\|u\|_{C^{k,\alpha}_\beta} = \sum_{l=0}^k \sup_{x \in M} \rho^{-\beta+l}(x) |\nabla^l u(x)| \\ + \sup_{\substack{x,y \in M \\ 0 < d(x,y) < \text{inj}(M)}} \min \{ \rho^{-\beta+k+\alpha}(x), \rho^{-\beta+k+\alpha}(y) \} \frac{|\tau_x^y \nabla^k u(x) - \nabla^k u(y)|}{d(x,y)^\alpha},$$

# Gauging

We are interested in Ricci-flat manifolds.

$$\text{Ric}(g) = 0$$

Diffeomorphism-invariance  $\rightsquigarrow$  degenerate symbol  $\rightsquigarrow$  inconvenient to work with.

Solution: introduce a term that “counteracts the diffeomorphism action”.

Fix a background metric  $\tilde{g}$ , and consider the **Ricci–DeTurck** PDE [DeT83, AM03]

$$-2 \text{Ric}(g) + \mathcal{L}_{V(g, \tilde{g})}g = 0,$$

where  $V(g, \tilde{g}) := g^{-1} \circ (\nabla^g - \nabla^{\tilde{g}}) = g^{ij}(\Gamma(g)_{ij}{}^k - \Gamma(\tilde{g})_{ij}{}^k)\partial_k$ , is an **elliptic quasi-linear PDE**.

## Definition 1 (Bianchi, or harmonic, gauge)

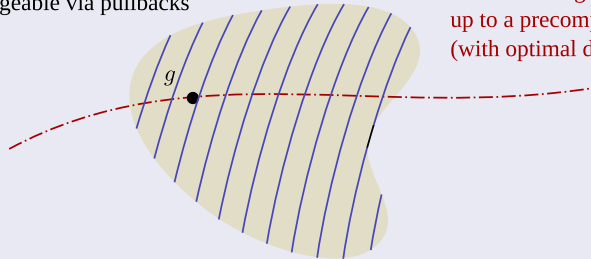
A metric  $g$  is in Bianchi gauge with respect to  $\tilde{g}$  if the vector field  $V(g, \tilde{g})$  vanishes everywhere, except possibly on a precompact set (cf. CMCSH gauge in Zoe Wyatt’s lecture).

## Theorem 2 (local slice theorem, Kröncke–Ás [KS])

*The Bianchi gauge provides a good local slice for the diffeomorphism action on metrics.*

*That is, given a background metric  $\tilde{g}$  and a precompact set  $U$ , there is a neighbourhood of  $\tilde{g}$  in a suitable weighted Sobolev space such that any metric in this neighbourhood can be pulled back by a unique diffeomorphism (close to the identity) to a metric which is in Bianchi gauge everywhere except possibly on  $\bar{U}$ .*

neighborhood of metrics  
gaugeable via pullbacks



metrics w.r.t. which  $g$   
is in Bianchi gauge  
up to a precompact set  
(with optimal decay)

# The linearized problem

- The linearization of the Ricci–DeTurck operator at a Ricci-flat metric on the diagonal is

$$\left. \frac{d}{dt} \right|_{t=0} (-2 \operatorname{Ric}(g + th) + \mathcal{L}_{V(g+th,g)}g) = \nabla^{g*} \nabla^g h + h \circ \operatorname{Ric}^g - \operatorname{Ric}^g \circ h - 2\overset{\circ}{R}^g h =: \Delta_L^g(h),$$

where the last term is of order zero and depends on the curvature.

- On a cone

$$\Delta_L = -\nabla_{\partial_r} \circ \nabla_{\partial_r} - \frac{n-1}{r} \nabla_{\partial_r} + \frac{1}{r^2} \square_L,$$

where  $\square_L$ , the tangential operator, is an  $r$ -independent second-order operator containing no radial derivatives.

- The Laplace–Beltrami operator and the Hodge Laplacian have similar decompositions. Thus we obtain the tangential operators  $\square_0 = \Delta^{\text{cone}}$  and  $\square_1$ .



# The spectrum of the tangential operator on a cone

## Theorem 3 (Kröncke–ÁS [KS])

The spectrum of the tangential operator  $\square_L$  of the Lichnerowicz Laplacian is given by

$$\begin{aligned} \sigma(\square_L) = & \sigma(\Delta_L^{link}|_{TT}) && \text{from transverse traceless tensors} \\ & \cup \{F_{\pm}(\mu) \mid \mu \in \sigma(\Delta_1|_{D(\text{link})})\} && \text{from divergence free 1-forms} \\ & \cup \sigma(\Delta_B^{link}) \cup \{G_{\pm}(\lambda) \mid \lambda \in \sigma(\Delta_B^{link})\} && \text{from functions} \\ & \cup \{0, 2 \dim M - 2\}, \end{aligned}$$

where  $F_{\pm}$  and  $G_{\pm}$  are concretely given elementary functions.

## Simplifying assumption

For ease of presentation, we will assume from now on that the critical value  $-\left(\frac{n-2}{2}\right)^2$  is not in the spectrum of the tangential operator  $\square_L$ .

## The relation between the spectrum and decay rates

For a 2-tensor  $h = f(r)r^2k(x)$  in product form where  $\square k = \nu k$ , then  $\nabla_{\partial_r}(r^2k) = 0$  and we have  $\Delta_L = -\nabla_{\partial_r} \circ \nabla_{\partial_r} - \frac{n-1}{r}\nabla_{\partial_r} + \frac{1}{r^2}\square$

$$\begin{aligned}\Delta_L h &= -f''(r)r^2k - \frac{\dim M - 1}{r}f'(r)r^2k + \frac{\nu}{r^2}fr^2k \\ &= \left(-f''(r) - \frac{\dim M - 1}{r}f'(r) + \frac{\nu}{r^2}f\right)r^2k\end{aligned}$$

$\rightsquigarrow$  the decay rate in the kernel of  $\Delta_L$  is determined by the spectrum of the tangential operator  $\square$ . The resulting ODE

$$-f''(r) - \frac{\dim M - 1}{r}f'(r) + \frac{\nu}{r^2}f = 0$$

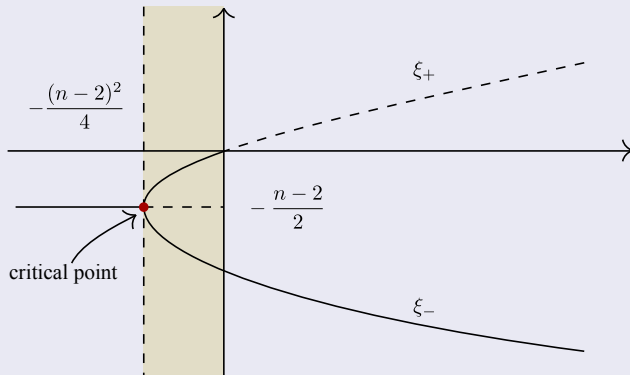
can be solved explicitly:  $f(r) = c_+r^{\xi_+(\nu)} + c_-r^{\xi_-(\nu)}$ , where  $\xi_{\pm}(\nu) = -\frac{n-2}{2} \pm \sqrt{\left(\frac{n-2}{2}\right)^2 + \nu}$  are the indicial roots corresponding to  $\nu$ .

# Decay rates

## Theorem 4 (Decay in the kernel of the Lichnerowicz Laplacian, Kröncke–Ás [KS])

A tensor field  $h$  which decays at infinity and which satisfies  $\Delta_L^{cone} h = 0$  has  $h = O(r^{-\xi})$  where  $\xi := \min \{ -\Re \xi_{\pm}(\nu) \mid \nu \in \sigma(\square_L) \} \cap (0, \infty)$ .

$$\xi_{\pm}(\nu) = -\frac{n-2}{2} \pm \sqrt{\left(\frac{n-2}{4}\right)^2 + \nu}$$



## Proposition 5 (Kröncke–Ás [KS])

Let  $(\overline{M}, \overline{g})$  be a Ricci-flat cone and let  $g$  be a Ricci-flat metric defined on an open set  $U \subset \overline{M}$  which is in Bianchi gauge with respect to  $\overline{g}$ . Then  $g - \overline{g} = \mathcal{O}_\infty(r^{-\xi})$  as  $r \rightarrow \infty$ .

Idea of the proof.

$$2 \operatorname{Ric}(g) = \mathcal{L}_{V(g, \overline{g})} \overline{g} \quad \text{on } U.$$

Due to [Shi89, Lemma 2.1], this can be rewritten in terms to the difference  $h = g - \overline{g}$  as

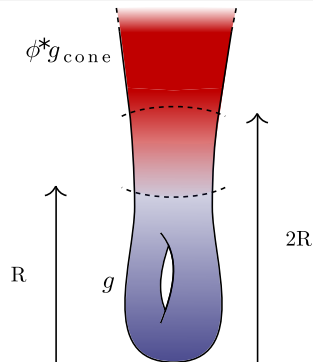
$$\overline{\Delta}_L h = g^{-1} * \overline{\operatorname{Rm}} * h * h + g^{-1} * g^{-1} * \overline{\nabla} h * \overline{\nabla} h + g^{-1} * \overline{\nabla}^2 h * h. \quad (1)$$

Note that the RHS is quadratic in  $h$ . The rest of the proof is a standard iteration procedure in weighted function spaces.

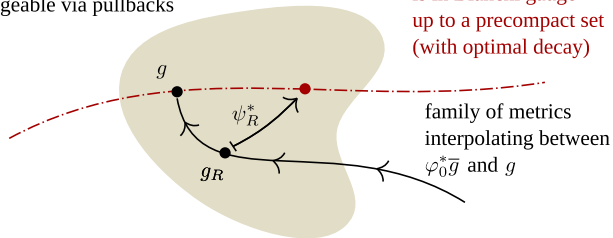
# Optimized coordinates

## Theorem 6 (Kröncke–Ás [KS])

Let  $(M, g)$  be an asymptotically conical Ricci-flat manifold. Then there exist compact set  $K \subset M$  and an asymptotic chart  $\varphi : M \setminus K \rightarrow \overline{M}_{>R}$  such that  $\varphi_*g - \overline{g} \in \mathcal{O}_\infty(r^{-\xi})$  as  $r \rightarrow \infty$ .



neighborhood of metrics  
gaugeable via pullbacks








## Take-home message

### Conifolds care a lot about their ends






Even though conifolds have a relatively large number of degrees of freedom, the condition of Ricci-flatness brings so much rigidity into the picture that the spectra of operators on the link of the ends to determine much about the decay.

Thank you for your attention!

# References I






-  Lars Andersson and Vincent Moncrief, *Elliptic-hyperbolic systems and the Einstein equations*, vol. 4, Annales Henri Poincaré, no. 1, Springer, 2003, pp. 1–34.
-  Richard Heiner Bamler, *Stability of Einstein metrics of negative curvature*, PhD thesis, Princeton University, 2011.
-  Robert Bartnik, *The mass of an asymptotically flat manifold*, Communications on Pure and Applied Mathematics **39** (1986), no. 5, 661–693.
-  Shigetoshi Bando, Atsushi Kasue, and Hiraku Nakajima, *On a construction of coordinates at infinity on manifolds with fast curvature decay and maximal volume growth*, Inventiones Mathematicae **97** (1989), no. 2, 313–349. MR 1001844
-  Murray Robert Cantor, *Spaces of functions with asymptotic conditions on  $\mathbb{R}^n$* , Indiana University Mathematics Journal **24** (1975), no. 9, 897–902.

## References II

-  ———, *Some problems of global analysis on asymptotically simple manifolds*, *Compositio Mathematica* **38** (1979), no. 1, 3–35.
-  Ronan J. Conlon and Hans-Joachim Hein, *Asymptotically conical Calabi–Yau manifolds, iii*, 2014, arXiv:1405:7140.
-  Dennis M. DeTurck, *Deforming metrics in the direction of their ricci tensors*, *Journal of Differential Geometry* **18** (1983), no. 1, 157–162, An improved version is available at <https://www2.math.upenn.edu/~deturck/papers/ricdef.pdf>.
-  Alix Deruelle and Klaus Kröncke, *Stability of ALE Ricci-flat manifolds under Ricci flow*, *The Journal of Geometric Analysis* (2020).
-  Tohru Eguchi and Andrew J. Hanson, *Asymptotically flat self-dual solutions to Euclidean gravity*, *Physics Letters B* **74** (1978), 249–251.



## References III

-  P. B. Kronheimer, *The construction of ale spaces as hyper-kähler quotients*, J. Differential Geometry **29** (1989), no. 3, 665–683.
-  Klaus Kröncke and Áron Szabó, *Optimal coordinates for Ricci-flat conifolds*, submitted for publication.
-  Robert B. Lockhart and Robert C. McOwen, *Elliptic differential operators on noncompact manifolds*, Annali della Scuola Normale Superiore di Pisa - Classe di Scienze **Ser. 4, 12** (1985), no. 3, 409–447 (en). MR 837256
-  Tommaso Pacini, *Desingularizing isolated conical singularities: Uniform estimates via weighted Sobolev spaces*, Communications in Analysis and Geometry **21** (2013), no. 1, 105–170.
-  Wan-Xiong Shi, *Deforming the metric on complete Riemannian manifolds*, Journal of Differential Geometry **30** (1989), no. 1, 223–301.

## Theorem 7 (Kröncke–Ás [KS])

Let  $(\overline{M}^n, \overline{g})$  be a Ricci-flat cone over a closed manifold  $(\widehat{M}^{n-1}, g)$  with  $\widehat{\text{Ric}} = (n-2)\widehat{g}$ .

Let  $0 = \lambda_0 < \lambda_1 < \dots$  be the eigenvalues of the Laplace–Beltrami operator on  $\widehat{M}$ ,  $\mu_1 < \mu_2 < \dots$  be the eigenvalues of the connection Laplacian on divergence-free 1-forms on  $\widehat{M}$  and  $\kappa_1 < \kappa_2 < \dots$  be the eigenvalues of the Einstein operator on transverse and traceless tensors on  $\widehat{M}$ .

(i) The indicial set of the Lichnerowicz Laplacian  $\overline{\Delta}_L$  on  $\overline{M}$  is given by

$$\{\xi_{\pm}(\kappa_i), \xi_{\pm}(\mu_i + 1) - 1, \xi_{\pm}(\mu_i + 1) + 1, \xi_{\pm}(\lambda_i) - 2, \xi_{\pm}(\lambda_i), \xi_{\pm}(\lambda_i) + 2 \mid i \in \mathbb{N}\} \cup \{-n, 2 - n, 0, 2\}.$$

(ii) The indicial set of  $\overline{\Delta}_L$  on tensors satisfying the linearized Bianchi gauge is given by

$$\{\xi_{\pm}(\kappa_i), \xi_{\pm}(\mu_i + 1) - 1, \xi_{\pm}(\lambda_i) - 2, \xi_{\pm}(\lambda_i) \mid i \in \mathbb{N}\} \cup \{-n, 0\}.$$

(iii) The indicial set of  $\overline{\Delta}_L$  on tensors satisfying the linearized Bianchi gauge, but which are not Lie derivatives, is given by  $E := \{\xi_{\pm}(\kappa_i), \xi_{\pm}(\lambda_i) \mid i \in \mathbb{N}\}$ .

$$\xi_{\pm}(x) := -\frac{n-2}{2} \pm \sqrt{\left(\frac{n-2}{2}\right)^2 + x}.$$

$$E_+ := \operatorname{Re}(E) \cap (0, \infty) = \{\xi_+(\kappa_i), \xi_+(\lambda_i) \mid i \in \mathbb{N}, \kappa_i > 0\}$$

$$E_- := \operatorname{Re}(-E) \cap (0, \infty)$$

$$= \{-\xi_-(\kappa_i), -\xi_-(\lambda_i) \mid i \in \mathbb{N}\} \cup \left\{ -\xi_+(\kappa_j) \mid i \in \mathbb{N}, -\frac{(n-2)^2}{4} \leq \kappa_j < 0 \right\}$$

$$\cup \left\{ -\operatorname{Re}(\xi_{\pm}(\kappa_j)) = \frac{n-2}{2} \mid i \in \mathbb{N}, \kappa_j < -\frac{(n-2)^2}{4} \right\},$$

$$\xi_+ := \min E_+ \quad \xi_- := \min E_-.$$

## Theorem 8

*Kröncke-Ás [KS] Let  $(M^n, g)$  be a Ricci-flat conifold with ends  $M_i$ ,  $i = 1, \dots, N$ , which are modeled by Ricci-flat cones over Einstein manifolds  $(\widehat{M}_i, \widehat{g}_i)$ . Then the following assertions hold:*

- (i) *If  $M_i$ ,  $i \in \{1, \dots, N\}$ , is an asymptotically conical end, then it is of order  $\xi_-(\widehat{M}_i, \widehat{g}_i)$  if it is not resonance-dominated and weakly of order  $\frac{n-2}{2}$  otherwise.*
- (ii) *If  $M_i$ ,  $i \in \{1, \dots, N\}$ , is a conically singular end, then it is of order  $\xi_+(\widehat{M}_i, \widehat{g}_i)$ .*